

**Exempted Micro-Enterprise Statement (EME) Statement**  
Broad-Based Black Economic Empowerment (BBBEE)

**Mathews & Associates Architects cc**

**Registration No.** 2000/064987/23  
**VAT No.** 4720195397

467 Fehrsen Street, Nieuw Muckleneuk, Pretoria, 0181

**BEE Status:** Level 4 Contributor & No Fronting Risk  
**BEE Procurement Recognition:** 100%: Value Adding Supplier  
**Assessed:** 8 April 2009 **Expire:** 28 April 2010

**Statement**

This statement serves to confirm that the above mentioned enterprise is exempted from BBBEE Verification Auditing in terms of Section 4 of Statement 000 of the Codes of Good Practice for Broad-Based Black Economic Empowerment (BBBEE) of 2007.

As an Exempted Micro Enterprise (EME), it's not required of this enterprise to produce a BBBEE Verified Rating Certificate for purposes of proving its BBBEE Compliance Levels. However, this Statement and accompanying documents serves to prove its Exempted Status and BBBEE Compliance Levels.

This enterprise qualifies as an "Exempted Micro Enterprise" (EME), since it has an annual turnover of less than R5m (Five-Million Rand) p.a. and as a qualified EME, it receives an automatic Level (4) Four BBBEE contribution status with a 100% BBBEE Procurement Recognition. Enterprises procuring from this EME are entitled to claim R1.00 for every R1.00 spent.

Also, this enterprise meets the principles of non-circumvention in terms of the BBBEE Codes of Good Practice and the BEE Act of 2003 and therefore complies with the Interpretative Principles of the Codes relating to Fronting (Sections 5.1 and 5.2).

**Declaration:**

I/We, the undersigned, acknowledge that the statement furnished above is true and correct. Further that the Exemption claimed from BBBEE Verification is in accordance with the interpretation of the BBBEE Codes of Good Practice and the BEE Act of 2003. In the event of further documentary evidence required, I/We will furnish such documentation to the satisfaction of the requester to prove that these claims and statement are true and correct.

**Signature:** .....  
(Enterprise Representative)

Assistance compiling this Self-Assessment Report was provided by:

**T**aranis **E**mpowerment **F**acilitation (Pty) Ltd. Cell. 082 496 1657

Member of the National Association of BEE Consultants (NABC): [www.nabc.org.za](http://www.nabc.org.za)

**Broad-Based Black Economic Empowerment (BBBEE)**

**Exempted Micro-Enterprise Statement (EME)  
Score Card**

**Mathews & Associates Architects cc**

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**VAT No.** 4720195397

467 Fehrsen Street, Nieuw Muckleneuk, Pretoria, 0181

**BEE Status:** Level 4 Contributor & No Fronting Risk  
**BEE Procurement Recognition:** 100%: Value Adding Supplier  
**Assessed:** 8 April 2009 **Expire:** 28 April 2010

**BBBEE Elements**

**Deemed Compliant**

Ownership	100%
Management	100%
Employment Equity	100%
Skills Development	100%
Preferential Procurement	100%
Enterprise Development	100%
Socio-Economic Development	100%

**Signature:** .....  
(Enterprise Representative)

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**Broad-Based Black Economic Empowerment (BBBEE)  
Exempted Micro-Enterprise Statement (EME)  
Executive Score Card**

**Mathews & Associates Architects cc**

**Registration No.** 2000/064987/23  
**VAT No.** 4720195397

467 Fehrsen Street, Nieuw Muckleneuk, Pretoria, 0181

**BEE Status:** Level 4 Contributor & No Fronting Risk  
**BEE Procurement Recognition:** 100%: Value Adding Supplier  
**Assessed:** 8 April 2009 **Expire:** 28 April 2010

This Executive Summary serves to state the company's levels of compliance under the BBBEE Codes. This Exempted-Micro-Enterprise (EME) is deemed as fully compliant.

<b>BEE Elements</b>	<b>Compliant Score</b>
<b>Ownership</b> Exercisable voting rights in the enterprise in the hands of black people: Exercisable voting rights in the enterprise in the hands of black women: Economic interest in the enterprise to which black people are entitled: Ownership fulfillment: Assessment: Net Equity Value: Assessment:	100%
<b>Management</b> Black Representation at owner/management level: Black Women representation at owner/management level:	100%
<b>Employment Equity</b> Black representation at manager/owner level: Black Women Representation at manager/control level: Black employees as a % of total employees:	100%
<b>Skills Development and Learnerships</b> Spend on Learning Programmes for black employees as a percentage of Leviaible Amount:	100%
<b>Preferential Procurement</b> BBBEE Procurement Spend from all Suppliers based on the BBBEE: Procurement Recognition Levels as a percentage of Total Measured Procurement Spend:	100%
<b>Enterprise Development</b> Average annual value of all Enterprise Development and Sector: Specific Programmes made by the Measured Entity as a percentage of the target:	100%
<b>Socio-Economic Development</b> Average Annual value of all contributions by the Measured Entity as a percentage of the target:	100%

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## Broad-Based Black Economic Empowerment (BBBEE)

### Exempted Micro-Enterprise Statement (EME) Procurement Benefits' Statement

## Mathews & Associates Architects cc

Registration No. 2000/064987/23

VAT No. 4720195397

467 Fehrsen Street, Nieuw Muckleneuk, Pretoria, 0181

**BEE Status:** Level 4 Contributor & No Fronting Risk

**BEE Procurement Recognition:** 100%: Value Adding Supplier

**Assessed:** 8 April 2009 **Expire:** 28 April 2010

#### EME Procurement Statement

This Enterprise has acquired an Exemption Status under the BBBEE Codes of Good Practice as defined by Statement 800. Find Exemption Statement Attachment.

By procuring qualifying goods and services from this company, you will be entitled to claim R1.00 for every R1.00 spent for purposes of points scored under the Preferential Procurement element of the BBBEE Generic or QSE Scorecards.

#### TOTAL MEASURED PROCUREMENT SPEND

Save as provided elsewhere in this paragraph, the following are considered to be Procurement Items for which you (the Buyer) are allowed to claim R1.00 for R1.00.

- all goods and services procured that comprise the cost of sales of the Measured Entity,
- all goods and services procured that comprise the operational expenditure of the Measured Entity;
- all capital expenditure incurred by the Measured Entity;
- all goods and services procured from organs of state and public entities;
- all goods and services procured from suppliers that enjoy a monopolistic position;
- all procurement on behalf of a third party or a client, where the cost of that procurement is included as an expense in the Measured Entity's annual financial statements;
- payment to independent contractors and to labour brokers for services provided by individuals who are not employees of the Measured Entity;
- all goods and services procured for the purpose of implementing BEE initiatives, including without limitation, procurement in relation to skills development, enterprise development, as well as initiatives included under residual element of BEE;
- all goods and services procured from a non-South African source and all imported goods and services procured; and all goods and services procured from subsidiaries or holding companies of the Measured Entity, the terms "subsidiary" and "holding company" to be interpreted in accordance with the Companies Act 61 of 1973.

*Assistance compiling this Self-Assessment Report was provided by:*

**Taranis Empowerment Facilitation (Pty) Ltd. Cell. 082 496 1657**

*Member of the National Association of BEE Consultants (NABC): [www.nabc.org.za](http://www.nabc.org.za)*

# **BROAD-BASED BLACK ECONOMIC EMPOWERMENT ACT**

## **The Codes of Good Practice**

### **Statement 800**

#### **The Qualifying Small Enterprise: Scorecard and Exempted Micro Enterprises**

##### **Introduction**

This statement details the criteria that is used to determine if an entity is eligible to be classified as a Qualifying Small Enterprise or an Exempted Micro Enterprise and how these are measured in terms of the Codes of Good Practice.

##### **Eligibility criteria**

Qualifying Small Enterprises (QSE's) = companies with an annual total turnover of between R5 million and R35 million

Exempted Micro Enterprises (EME's) = companies with an annual total turnover of R 5 million or less Start-up enterprises are measurable as EME's for the first year following their formation or incorporation. This provision applies regardless of the expected total revenue of the start-up enterprise. In the case where a start-up enterprise tenders for a contract of R5 million or more they will be required to provide evidence of BEE compliance.

Sectors of the economy wishing to define small, very small, and micro enterprises differently from the definition of the codes may do so either through a sector specific charter formulation process or any other relevant sector specific initiative.

##### **Measurement Criteria**

##### **Qualifying Small Enterprises**

Unlike the Generic Scorecard, the QSE Scorecard allocates an equal 25 weighting points to each of the seven elements of B-BBEE. The overall weighting of the elements on the scorecard adds up to 175 points. But because QSE's only have to elect four of the elements, selected elements of compliance will total 100 points.

##### **Exempted Micro Enterprise**

Any entity that is eligible to be an Exempted Micro Enterprise will enjoy an automatic Level 4 Contributor status. An EME qualifies for promotion to a B-BBEE status of "Level 3 contributor" having a B-BBEE procurement recognition of 110% if it is more than 50% owned by black people or more than 50% owned by black women.

Any representation made by a QSE or an EME about its B-BBEE compliance must be supported by suitable evidence or documentation.

## CODE 000: BBEE STATUS

BEE Status for companies will be recognized based on the table below:-

Level	BEE Score	BEE Recognition Level
1	> 100	<b>135%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R1.35)
2	>= 85 < 100	<b>125%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R1.25)
3	>= 75 < 85	<b>110%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R1.10)
4	>= 65 < 75	<b>100</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R1.00)
5	>= 55 < 65	<b>80%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R0.80)
6	>= 45 < 55	<b>60%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R0.60)
7	>= 40 < 45	<b>50%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R0.50)
8	>= 30 < 40	<b>10%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of 0.10)
<b>Not Compliant</b>	<b>&gt; 30</b>	<b>0%</b> (Buyer Spent R1.00 =Claim points for Recognition Value of R0.00)

**Reference:** Interpretive Guide to the Codes of Good Practice  
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**AFFIDAVIT**

I, \_\_\_\_\_

hereby declare in my capacity as \_\_\_\_\_

of \_\_\_\_\_, that in

terms of the BBBEE Codes of Good Practice, Code Series 000, Statement 000,

Paragraph 4, that the turnover for the above-mentioned company for the financial

year 2008/9 is less than R5m (Five-Million Rand) and therefore considered an

Exempted Micro Enterprise (EME) for purposes of BBBEE Verification.

.....  
**Deponents Name**

.....  
**Deponents Signature**

**Sworn to and signed before me at:** .....

**On this the ..... Day of .....2009**

The deponent has acknowledge that he knows and understands the contents of this affidavit and has Declared that he has no objection to taking the oath and he considers the oath to be binding upon his conscience.

.....  
**COMMISSIONER OF OATHS**

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Designation: \_\_\_\_\_

## Letter to be used by Auditor / Accounting Officer

Date

### **Broad-Based Black Economic Empowerment Exempt Micro-Enterprise Verification Certificate Level Four Contributor**

We are the appointed Accounting Officer of the above mentioned Close Corporation and we've completed our audit of the financial statements for the period ended 2008/9 on which we expressed an (unqualified / modified) opinion on (insert date).

The audited/unedited financial statements of (insert name of business) for the period ended (insert period) reflected an annual turnover/income less than or equal to R5m.

Although the abovementioned is the current level of turnover/income and is closely related to the economic indicators, it may be more or less in future. Consequently, this Certificate does not serve as a guarantee that the income reflected will continue at the same levels.

The entity is a Value-Adding Enterprise as defined in the Codes of Good Practice on Broad-Based Black Economic Empowerment.

Auditor/Accounting Officer's Signature:

Name of individual Registered Auditor/Accounting Officer:

Professional Designation:

Date of Issue:

Date of Expiry:

*This Certificate is issued in terms of Government Gazette Vol. 500 No. 29617 dated 9 February 2007.*

Auditor/Accounting Officer's Signature

Name of individual Registered Auditor/Accounting Officer

Professional Designation (if applicable)

Registered Auditor (if applicable)

Address

Date of Issue:

Date of Expiry:

*This Certificate is issued in terms of Government Gazette Vol. 500 No. 29617 dated 9 February 2007.*